



# WhaleRock

POINT PARTNERS, LLC

## Professional Investment Management

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### Form ADV Part 2B Brochure Supplement

This brochure supplement provides information about the Registered Investment Adviser Representatives of WhaleRock Point Partners, LLC (“WhaleRock”). This is a supplement to the WhaleRock brochure (Form ADV Part 2A). You should have received a copy of that brochure. Please contact Tyson Reed, Chief Compliance Officer if you did not receive WhaleRock’s brochure or if you have any questions about the contents of the brochure or this supplement.

Additional information about WhaleRock and its representatives is also available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

WhaleRock is a Registered Investment Adviser. This registration does not imply a certain level of skill or training. Law requires WhaleRock to register with the SEC because the assets under its management exceed the maximum allowed to be exempt from registering.

## Item 2: Educational Background and Business Experience

Persons associated with our firm who determine or provide investment advice to clients are generally required to have a university degree and a postgraduate degree or a professional designation(s) and have significant investment management experience.

**Bradley P. Dorman** was born in 1961. He is a co-founder and managing partner of the firm and is a portfolio manager. Brad graduated from Harvard University in 1984 with an A.B. degree concentrating in Economics. He completed his law degree at The University of Wisconsin in 1987. After practicing law in RI, Brad transitioned to advising high net worth and institutional investors over 20 years ago. He was a Senior Vice President and Portfolio Manager for Bank of America Private Advisory Services (successor to Fleet Investment Advisors) before founding WhaleRock in 2006.

**Tyson C. Reed** was born in 1966. He is a partner of the firm and is responsible for developing and managing relationships with institutional clients and high net worth clients and their families. Tyson graduated from Villanova University with a B.A in Communications and Economics in 1988. Before joining WhaleRock, he was a Managing Director with JP Morgan, in Boston. Previously, Tyson was Managing Director at U.S. Trust, Bank of America Private Wealth Management. Prior to joining U.S. Trust in 2001, he was a Vice President at Thomson Financial, and began his career in 1988 at Chase Manhattan Bank in New York, in the Global Custody and Securities division. He joined WhaleRock Point Partners in October of 2014.

**James B. Webber** (“Jerry”) was born in 1948. He is a portfolio manager for the firm. Jerry graduated from Trinity College with a B.S in Psychology in 1971. He worked on the bond trading desk at Connecticut Bank and Trust in Hartford Connecticut. He was elected an investment officer in 1978. He joined Fleet Investment Advisors in Maine in 1992 as a Vice President and portfolio manager in the trust department. He transitioned to Providence, RI in 2001, to be a portfolio manager at US Trust, Bank of America Private Wealth Management ( the successor to Fleet Investment Advisors). He joined WhaleRock Point Partners as a portfolio manager in September of 2009.

**John Philip Genco** was born in 1989. He is an Investment Adviser Representative and Portfolio Specialist for the firm and is a Chartered Financial Analyst. John graduated from Boston College with a B.S. in Arts Economics. He worked as a research analyst with Beaumont Financial Partners from 2012 to 2018. He joined WhaleRock in July of 2018

## Item 3 – Disciplinary Information

Neither WhaleRock nor any of its supervised employees has been or is the subject of any disciplinary, criminal or civil action. Neither is WhaleRock nor any of its employees the subject of any administrative hearing before the SEC or other federal regulatory authority. Neither has WhaleRock nor any of its employees been involved in a self-regulatory proceeding, found to be involved in a violation of self-regulatory rules or barred or fined for such violation.

#### Item 4 – Other Business Activities

None of WhaleRock's employees derives more than 10% of their earned income from non-investment business activities. None of WhaleRock's employees derives any income from the purchase or sale of securities.

#### Item 5 – Additional Compensation

Neither WhaleRock nor any of its supervised employees earns compensation based on a share of capital gains or capital appreciation realized, or performance based fees or any other kind of fee or service arrangement.

#### Item 6 – Supervision

The Chief Compliance Officer screens accounts to monitor whether accounts are within guidelines established in the accounts' Investment Policy Statements. Portfolio managers are reviewing their accounts on a regular basis, as well. A formal written review of each account is performed at least annually.

The Chief Compliance Officer is Jacalyn Reed. (401) 406-2564 or [jreed@wrpoint.com](mailto:jreed@wrpoint.com)